



(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
20.12.2000 Bulletin 2000/51

(51) Int. Cl.<sup>7</sup>: H04J 14/02

(21) Application number: 00304775.0

(22) Date of filing: 06.06.2000

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE  
Designated Extension States:  
AL LT LV MK RO SI

- Anderson, Jon  
Brielle, New Jersey 08730 (US)
- Broberg, Robert Mario  
San Francisco, California 94110 (US)
- Lenz, Gadi  
Fanwood, New Jersey 07023 (US)

(30) Priority: 15.06.1999 US 333407

(71) Applicant:  
LUCENT TECHNOLOGIES INC.  
Murray Hill, New Jersey 07974-0636 (US)

(74) Representative:  
Watts, Christopher Malcolm Kelway, Dr. et al  
Lucent Technologies (UK) Ltd,  
5 Mornington Road  
Woodford Green Essex, IG8 0TU (GB)

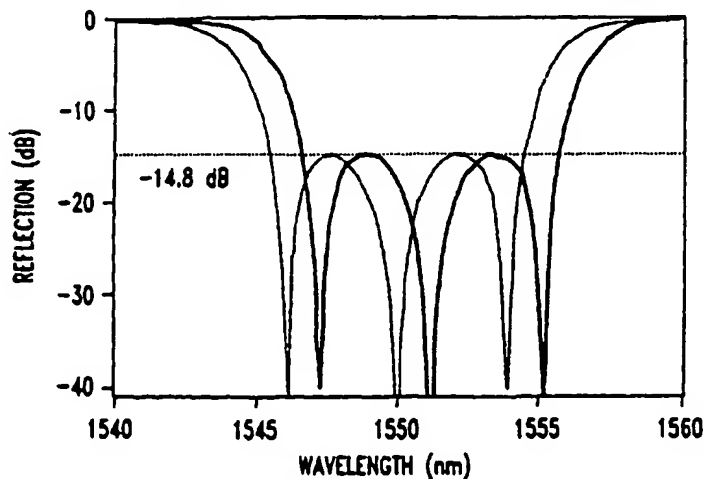
(72) Inventors:  
• Adams, Laura Ellen  
Basking Ridge, New Jersey 07920 (US)

(54) Optical add-drop module with low loss and high isolation

(57) An optical add-drop module (OADM) includes in the through-path exactly two thin-film filters (TFFs) having respective passbands that approximately coincide in wavelength. Each TFF has a respective across-the-band isolation level, representing the smallest isolation achieved at any wavelength within the passband.

The respective reflection characteristic curves of the two TFFs are at least partially complementary, such that the OADM isolation level exceeds the logarithmic sum of the across-the-band isolation levels of the respective TFFs.

FIG. 4



## Description

### Field of the Invention

[0001] The present invention relates generally to passive optical devices for channel selection, and more particularly, to devices in which dielectric thin-film filters are used for channel selection.

### Background of the Invention

[0002] There is currently a market need, experienced by, for example, telephone carriers and cable operators, to provide short-haul transmission of internet protocol (IP) packets among interconnected nodes of a network that are typically spaced apart by 20 km or less. Proposed systems send IP packets, via a SONET interface, over a fiber-optic transmission medium using wavelength division multiplexing (WDM). A particular wavelength channel is assigned to each node. At each node, optical demultiplexing is required to extract the received data from the medium in the channel of interest, and optical multiplexing is required to inject the transmitted data into the medium in the channel of interest.

[0003] The optical components that effectuate optical multiplexing and demultiplexing add cost to the network installation, and in operation, they also add optical losses that accumulate over the network. For greater profitability, it is desirable both to reduce installation cost, and to reduce the accumulated losses that would otherwise limit transmission distances. For both purposes, it would be advantageous to reduce the number of optical components at each node that perform optical multiplex-demultiplex functions.

[0004] One known device for providing the multiplex-demultiplex function described above is an optical add-drop module (OADM) using in-line dielectric thin-film filters (TFFs). Such a device is represented in FIG. 1. Very briefly, incoming traffic on optical fiber 10 is incident on drop filter 15. Signals in undesired wavelength channels, i.e. all but the channel assigned to the node of interest, are reflected into bypass optical fiber 20. From fiber 20, these signals are incident on add filter 25 and reflected into optical fiber 30, from which they propagate downstream and away from the node of interest. Signals in the channel of interest are transmitted through filter 15 to receiver 35. Signals in the channel of interest from transmitter 40 are transmitted through filter 25 into optical fiber 30.

[0005] Some incoming optical power in the channel of interest may leak through the OADM by reflection from filters 15 and 25 and transmission into fiber 30. Such leakage is undesirable because it leads to interference in that channel. The attenuation of the undesired power, relative to the desirably transmitted power in the channel, is referred to herein as *channel isolation*. Those skilled in the art will appreciate that the term

*adjacent channel isolation* is often used to denote a measure of the suppression of channel crosstalk at receiver 35. What we mean by *channel isolation*, however, is the isolation provided by virtue of the reflections from filters 15 and 25. Such isolation is also sometimes referred to as *reflection isolation*.

[0006] In some cases, the add and drop filters fail to provide sufficient channel isolation. In those cases, it is customary to add a third filter 45, which supplements filters 15 and 25 by continuing the bypass path from fiber 20 to fiber 20'. The additional reflection from this third filter further reduces the power in the local add/drop channel that is transmitted into fiber 30, and thus further increases the channel isolation.

[0007] There are certain drawbacks to the use of a third filter, such as filter 45, in the bypass fiber. Such a filter adds some loss to all of the traffic being routed through the bypass fiber, and it adds component cost to the fiber-optic network.

### Summary of the Invention

[0008] Represented in FIG. 2 is a pair of characteristic curves for an illustrative TFF. Curve 50 is a transmission characteristic, and curve 55 is a reflection characteristic. Evident in curve 55 are ripple features 60 having peaks 61 and valleys 62. A ripple peak corresponds to a wavelength, within the transmission passband of the TFF, which is also partially reflected. Thus, the TFF affords somewhat less isolation near ripple peaks than it does near ripple valleys. Herein, we use the term *across-the-band isolation* to refer to the smallest isolation achieved at any point within the passband of a given TFF.

[0009] We have found that the combined isolation afforded in an OADM by an add filter and a drop filter can be improved by selecting a pair of filters having nominally similar characteristics, but having relatively displaced ripple features, such that at least one ripple peak of the add filter falls at approximately the same wavelength as a ripple valley of the drop filter and *vice versa*. The resulting improvement is sufficient to obviate the need for a third filter in the bypass fiber, even for some highly demanding applications. Thus, appropriate matching of the add and drop filters can lead to reduced loss in the network, and reduced network cost.

[0010] Accordingly, the invention in one embodiment is an OADM having a passband and a throughpath, and in the throughpath, exactly two broadband TFFs having nominally similar transmission and reflection characteristics. In this context, the throughpath is the path taken by traffic that is not added or dropped. With reference to FIG. 1, it is the path from fiber 10 to fiber 30 via fibers 20 and 20'. The respective TFFs are selected to have at least partially complementary reflection characteristic curves, such that at least some ripple peaks in the characteristic curve of one TFF overlap ripple valleys in that of the other TFF. The selection of

TFFs is further characterized in that the OADM achieves a level of channel isolation over the entire said passband that is greater than that achievable by pairing either of the individual TFFs with an identical counterpart.

## Brief Description of the Drawing

### [0011]

FIG. 1 is a simplified schematic drawing of a portion of a fiber-optic network, including an optical add-drop module (OADM) of a known kind, using dielectric thin-film filters (TFFs).

FIG. 2 is a pair of characteristic curves for an illustrative TFF of a kind useful in the OADM of FIG. 1. In each curve, transmitted or reflected optical power, in decibels, is plotted against wavelength.

FIG. 3 is a diagram that schematically illustrates an exemplary set of coarse WDM channels useful in the operation of an optical WDM ring network.

FIG. 4 shows reflection characteristic curves for two computationally modeled TFFs that are nominally similar, but have a mutual center-wavelength offset of 1.2 nm. Isolation achievable with either of these TFFs is indicated on the figure.

FIG. 5 shows the composite reflection characteristic curve of the TFFs of FIG. 4 cooperating in an OADM. The isolation achievable from this combination of two TFFs is indicated on the figure.

## Detailed Description

[0012] We have found that one answer to the need for an economical short-haul network, as described above, is a dual-ring, bi-directional optical fiber transmission system supporting widely spaced coarse WDM channels. The ring interconnects the nodes with a hub, which manages traffic entering and leaving the ring. Typically, a relatively wide spacing of the channels, on the order of 20 nm, enables the use of very low cost transceivers and avoids the need for temperature control in, e.g., the laser transceivers. Details of such a ring network can be found in copending application of L. Adams, J. Anderson, W. Brinkman, and R. Broberg, filed on June 15, 1999 under the title "Wideband Optical Packet Ring Network", and assigned to the same assignee as the present invention.

[0013] OADM's using TFFs are especially suited to such a network, because TFFs are readily made with a bandwidth wide enough to accommodate the wavelength drift normally associated with temperature changes in uncooled lasers. The signals in the various channels are coupled to the TFFs in each node by a standard optical transceiver, which performs modulation and demodulation. Each filter passband can be populated with multiple *dense* wavelength division multiplexed (D-WDM) channels, so that the capacity of traffic

that can be handled at each node can be easily upgraded. The transceiver is, in turn, coupled to a packet framer, which supplies received packets to, and receives outgoing packets from, a conventional Layer 3 routing engine.

[0014] While the preceding description of an embodiment of the present invention relates to an Internet Protocol (IP) network carrying IP packets, it is to be understood that the present invention can be used in connection with many diverse types of networks and with the transmission of different types of information bearing packets or signals. Thus, as used herein, the term "packets" includes, but is not limited to, data packets (such as are used in asynchronous transfer mode (ATM), synchronous transfer mode (STM), and/or internet protocol (IP) networks), as well as other information bearing signals, sometimes referred to as "frames", that are found, for example, in streaming audio and/or video applications.

[0015] A practical system has a finite operating bandwidth, within which all of the wavelength channels must fit. The total number of possible channels is limited by this operating bandwidth and by the spacing between channels. This is illustrated in FIG. 3, in which each of several wavelength channels is represented by a respective bandpass transmission characteristic 70. The operating band of the network is characterized by a channel bandwidth 75 and a channel spacing 80.

[0016] In an illustrative network, there are seven channels having respective center frequencies corresponding to wavelengths of 1490, 1510, 1530, 1550, 1570, 1590 and 1610 nm. Each of the respective channels has a bandwidth of about 13 nm. A channel spacing of 20 nm advantageously enables the use of inexpensive transceivers comprising lasers that require little or no temperature control. In addition, broad passband filters made by TFF technology easily accommodate wavelength drift that occurs with temperature variations of uncooled lasers. Moreover, a filter passband of 13 nm width can readily accommodate 16 D-WDM channels having 100-GHz spacing. As noted, this allows for capacity to be upgraded at each node by adding D-WDM channels.

[0017] More generally, an exemplary range of OADM passbands useful in this context is 1-20 nm. An exemplary range for OADM center wavelengths is 1290-1610 nm. In practice, of course, these ranges will be limited by channel spacings, light sources, and other design considerations.

[0018] It will be evident from the figure that if channels are spaced too closely together, the transmission characteristics of OADM's in neighboring channels may overlap, leading to unacceptable channel crosstalk. For a fixed channel spacing, increasing the filter passband makes it necessary to steepen the sides of the filter characteristic curve in order to satisfy required limits on adjacent channel crosstalk. However, the steeper the filter edge, the more ripple is introduced in the passband.

This, in turn, tends to reduce the amount of isolation available for, e.g., meeting required limitations on coherent crosstalk.

[0019] By way of illustration, FIG. 4 shows reflection characteristic curves for computationally modeled TFFs having respective central wavelengths of 1550.0 nm and 1551.2 nm, and passbands of 13 nm. Measurement of the distance from the 100% reflection level to the top of the highest ripple peak of each reflection curve shows that the isolation achievable across the passband for each filter is 14.8 dB. Thus, an OADM using two identical such filters, without further filtering in the bypass fiber, would achieve twice this isolation, or 29.6 dB.

[0020] The composite reflection characteristic curves for the same two TFFs, acting together in an OADM, is shown in FIG. 5. Because the ripple features of the respective TFFs do not occur at the same wavelengths, the two filters as combined in an OADM achieve an isolation of 31.6 dB, which is greater than that which would be achieved by pairing either TFF with an identical counterpart.

[0021] More generally, the isolation achieved by any pair of TFFs, at a given wavelength, is the sum of the isolations (in decibels) achieved at that wavelength by the individual TFFs. Improved across-the-band isolation can be achieved when at least some ripple peaks of one TFF at least partially overlap ripple valleys of the other TFF. In particular, there will generally be improvement if mutual reinforcement is avoided between the portions of the respective characteristic curves that correspond to relatively low isolation. As noted, one way to achieve such improvement is to pair TFFs having similarly shaped reflection characteristics, but having center wavelengths that are offset by an appropriate amount. Another way is to use TFFs having the same, or nearly the same, center wavelength, but having different characteristic curves as the result, e.g., of manufacturing uncertainties.

[0022] Appropriate pairs of TFFs may be explicitly designed to have mutually compensating reflection characteristics, exemplarily by providing a designated offset of the center wavelength. An exemplary range for offsets useful in this regard is 1%-25% of the TFF passband. An alternative exemplary range is 0.1-5 nm. In practice, of course, these ranges may be limited by the choice of center wavelengths, OADM passbands, and other design considerations.

[0023] Alternatively, when a variety of TFFs having different characteristic curves are available, it is a straightforward task to select one or more pairs that have mutually compensating curves.

[0024] Such task can be carried out, e.g., with the help of a computer in which the various digitally sampled characteristic curves have been stored. Under the control of an appropriate program, such a computer can readily compute the composite curves for many pairs drawn from a population of manufactured filters, and can readily indicate which such composite curves meet

specified criteria for isolation.

[0025] It should be noted that techniques for making TFFs according to specified requirements are well known. Conventional vacuum deposition techniques, such as sputtering techniques, are used to deposit alternating layers of dielectric material on substrates of, typically, optical glass. Suitable dielectric materials include silica and titania. The theory of TFFs is discussed in such books as H.A. Macleod, *Thin-Film Optical Filters*, 2d Ed., McGraw-Hill, New York, 1989 and J.D. Rancourt, *Optical Thin Films User's Handbook*, McGraw-Hill, New York, 1987.

[0026] However, as noted, there are uncertainties in the manufacturing process. Because of these uncertainties, it is rare for two nominally identical TFFs to have exactly the same characteristic curves. Instead, substantial variation is seen in the ripple structure of the reflection curves. Thus, even random variation provides an opportunity for judicious matching of TFFs for improved isolation.

[0027] Our computational simulations, assuming individual across-the-band isolations of 14-15 dB, have indicated that pairing of TFFs as described here can improve isolation in the OADM by 1 decibel, several decibels, or even more, over what would be expected using pairs of identical filters.

#### Claims

1. An optical add-drop module (OADM) having a through-path, a passband, and an isolation level, the OADM including in the through-path exactly two thin-film filters (TFFs) having respective passbands that approximately coincide in wavelength, each TFF having a reflection characteristic curve, and each TFF having a respective across-the-band isolation level, wherein:

the respective reflection characteristic curves of the two TFFs are at least partially complementary, such that the OADM isolation level exceeds the logarithmic sum of the across-the-band isolation levels of the respective TFFs.

2. The OADM of claim 1, wherein each TFF has a center wavelength, and the center wavelengths of the respective TFFs are offset from each other by at least 1%, but not more than 25%, of the width of each TFF passband.
3. The OADM of claim 2, wherein the OADM passband is at least 1 nm wide but not more than 20 nm wide, and the center wavelengths of the respective TFFs are offset by at least 0.1 nm, but not more than 5 nm.
4. The OADM of claim 3, wherein the OADM passband has a center wavelength in the range 1290-

1610 nm.

5. The OADM of claim 1, wherein the OADM isolation level exceeds by at least 1 dB the logarithmic sum of the across-the-band isolation levels of the respective TFFs. 5
6. A method for manufacturing an OADM having a through-path, a passband and an isolation level, the OADM including in the through-path exactly two thin-film filters (TFFs) having respective passbands that approximately coincide in wavelength, each TFF having a respective across-the-band isolation level, the method comprising:  
10  
15  
measuring a reflection characteristic curve of each of two or more TFFs;  
selecting a pair of TFFs having reflection characteristic curves that are at least partially complementary, such that the OADM isolation level exceeds the logarithmic sum of the across-the-band isolation levels of the respective TFFs;  
20  
and  
assembling the selected pair of TFFs in an OADM. 25
7. The method of claim 6, wherein the TFFs are selected from respective groups of TFFs fabricated to have different nominal center wavelengths. 30
8. The method of claim 7, wherein the respective groups of TFFs are fabricated to have nominal center wavelengths that differ by at least 1%, but not more than 25%, of the width of each TFF passband. 35
9. The method of claim 8, wherein the OADM passband is at least 1 nm wide but not more than 20 nm wide, and the respective groups of TFFs are fabricated to have nominal center wavelengths that differ by at least 0.1 nm, but not more than 5 nm. 40

45

50

55

FIG. 1

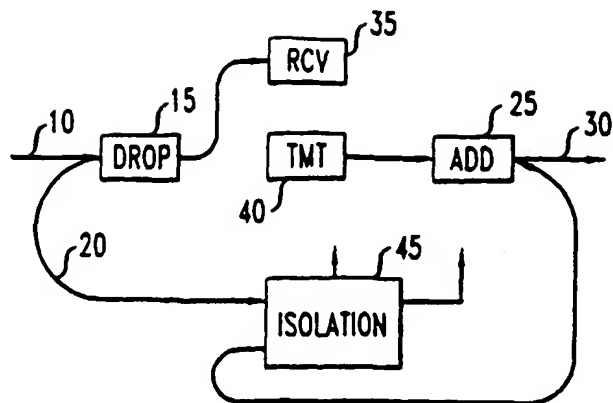


FIG. 2

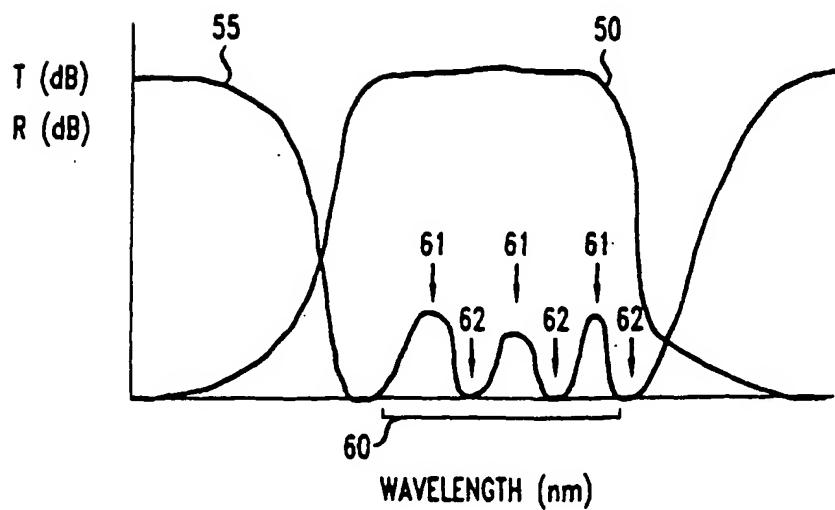


FIG. 3

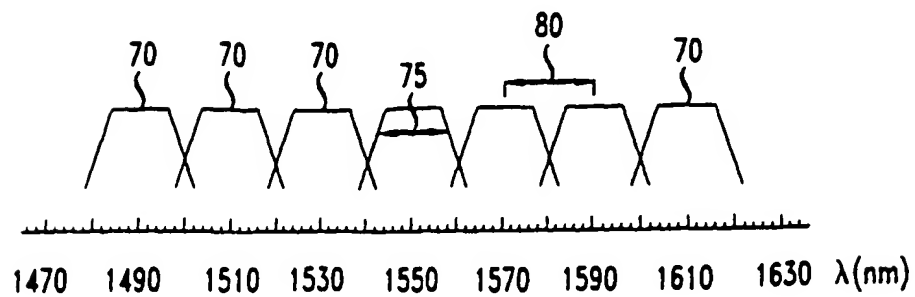


FIG. 4

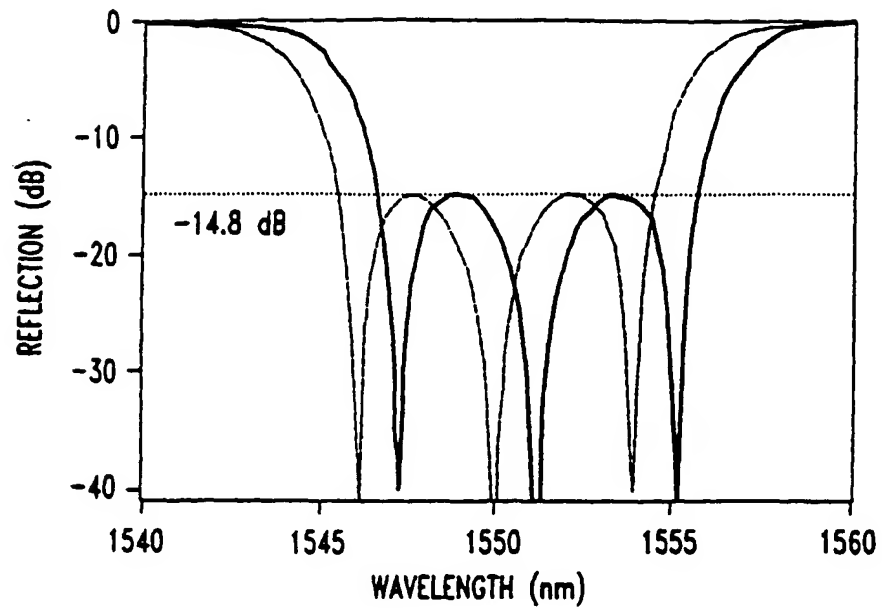
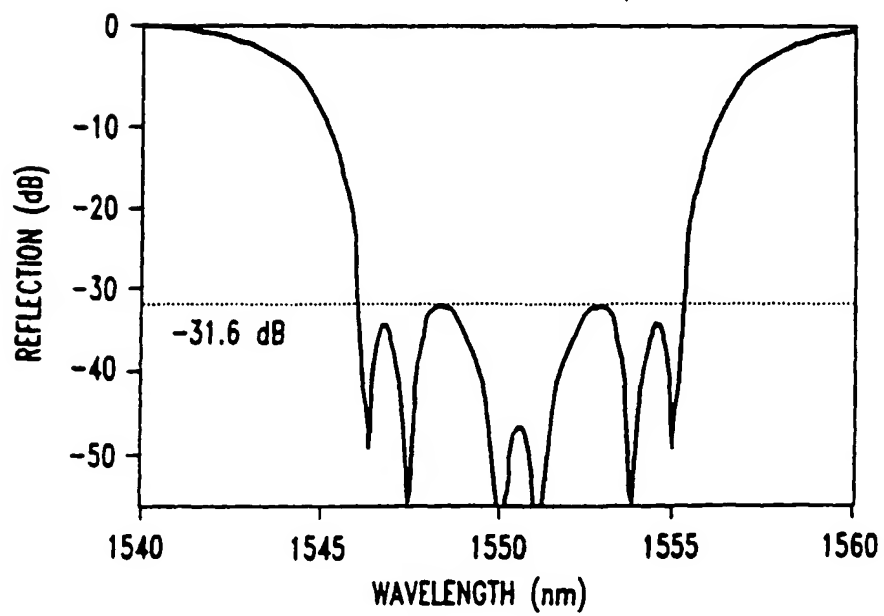


FIG. 5





European Patent  
Office

# EUROPEAN SEARCH REPORT

Application Number  
EP 00 30 4775

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.7)
X	US 5 822 095 A (MIYAKAWA TAKAYUKI ET AL) 13 October 1998 (1998-10-13) * column 1, line 5-12 * * column 1, line 23-37 * * column 2, line 23-37 * * column 4, line 21-38 *	1,6	H04J14/02
A	US 5 532 864 A (ALEXANDER STEPHEN B ET AL) 2 July 1996 (1996-07-02) * column 1, line 6-11 * * column 3, line 16-52 * * column 7, line 47 - column 8, line 21 * * column 11, line 1-31 *	1-9	
A	WO 99 14879 A (DAILEY MICHAEL J JR ; CORNING INC (US); KROL MARK F (US); NOLAN DAN) 25 March 1999 (1999-03-25) * page 1, line 6-15 * * page 5, line 22 - page 6, line 7 * * page 8, line 24 - page 9, line 6 * * page 14, line 24 - page 15, line 13 * * page 21, line 12 - page 22, line 19 *	1-9	
The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int.Cl.7)  H04J G02B
Place of search <b>THE HAGUE</b>		Date of completion of the search <b>11 September 2000</b>	Examiner <b>Traverso, A</b>
<p><b>CATEGORY OF CITED DOCUMENTS</b></p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons &amp; : member of the same patent family, corresponding document</p>			

EPO FORM 1503 03.02 (Pct001)



**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

EP 00 30 4775

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on  
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

11-09-2000

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
US 5822095	A	13-10-1998	JP 9083495	A	28-03-1997
US 5532864	A	02-07-1996	US 5798855	A	25-08-1998
WO 9914879	A	25-03-1999	AU 9491398	A	05-04-1999
			EP 1016235	A	05-07-2000

EPO FORM P0450

For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

This Page is inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☒ BLURED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images  
problems checked, please do not report the  
problems to the IFW Image Problem Mailbox**